Private Placement Investment Checklist

4 Easy Steps to Get Started

Step 1. Complete your Advanta IRA New Account application packet

Step 2. Fund your new account by transferring an IRA or rolling over money from a 401(k)

Step 3. Provide your investment paperwork to your Advanta IRA account manager

Step 4. Approve the investment paperwork and instruct Advanta IRA to send funds to the investment

Forms You Will Need

- [ ] Advanta IRA's Private Placement Purchase Authorization
- [ ] Advanta IRA's Investment Acknowledgement Form
- [ ] Subscription documents and investment prospectus
- [ ] Check or wiring instructions for your investment

Helpful Tips

- You must perform your own due diligence on the investment as Advanta IRA cannot give advice regarding your investment.
- The investment will be titled in the name of your IRA: Advanta IRA FBO Your Name IRA #12345. Your account manager will supply you with the proper wording.
- All income from the investment (distributions and dividends) are paid directly to your Advanta IRA.
- You can track incoming deposits though our online portal and through email notifications.
- You must provide a fair market value (FMV) statement for the asset to Advanta IRA annually.
- Advanta IRA is always available to answer questions regarding paperwork, account set-up, and account maintenance.