

Use this form to transfer funds directly from your existing IRA to your Advanta IRA.

### 1 PERSONAL INFORMATION

Name (Your name as it appears in your plan) <input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> Mrs. <input type="checkbox"/> Dr. _____		Advanta IRA Account Number
Legal Address		City, State, Zip
Phone		Social Security Number

### 2 CURRENT IRA CUSTODIAN/TRUSTEE (Please attach a copy of a current statement for this account)

Name of Custodian/Trustee		Account Number*
Transfer Department Address		City, State, Zip
Contact Name (optional)	Phone Number	Type of Account <input type="checkbox"/> Traditional <input type="checkbox"/> Roth <input type="checkbox"/> SEP <input type="checkbox"/> SIMPLE <input type="checkbox"/> HSA <input type="checkbox"/> ESA <input type="checkbox"/> 401(k) <input type="checkbox"/> Beneficiary IRA
Have you confirmed the Non ACAT transfer department address with your custodian? <input type="checkbox"/> No - Leave blank and we will use our custodial transfer address list <input type="checkbox"/> Yes - Please send Transfer request to above listed address		

### 3 TRANSFER DETAILS

<input type="checkbox"/> <b>Option One: Cash Transfer</b> <input type="checkbox"/> Complete (liquidate and send all proceeds) <input type="checkbox"/> Partial - Send ONLY \$ _____	<input type="checkbox"/> <b>Option Two: In-Kind Transfer</b> <i>(Advanta IRA will not accept in-kind transfers of publicly traded securities)</i> <input type="checkbox"/> Complete (Send all assets listed below and cash) <input type="checkbox"/> Partial - Send ONLY the assets listed below	
Asset Description		Amount

### 4 TRANSFER INSTRUCTIONS

Please send cash via:  Check  Wire (\$15) Please send request via:  Mail  Expedited 2<sup>nd</sup> Day Delivery (\$30) \*Default to mail, if unchecked

### 5 SIGNATURE AND ACKNOWLEDGEMENT

- I hereby agree to the terms and conditions set forth in this Account Asset Transfer Authorization and acknowledge having established a self directed account through execution of the account application.
- I understand the rules and conditions applicable to an Account Transfer
- I qualify for the account transfer of assets listed in the Asset Liquidation above and authorize such transactions.

Your Signature: \_\_\_\_\_

Date: \_\_\_\_\_

#### ACCEPTANCE OF RECEIVING CUSTODIAN

Pursuant to a limited written delegation Mainstar Trust, as Custodian ("Custodian"), has authorized Advanta IRA to sign this form on the Custodian's behalf to verify the Custodian's acceptance of the transfer, rollover or direct rollover described above and agreement to apply the proceeds upon their receipt, to the Account established by Advanta IRA on your behalf. Mainstar Trust ASSUMES NO TRUST OR FIDUCIARY OBLIGATIONS TO YOU AS IT HAS NO INVESTMENT CONTROL OVER YOUR FUNDS AND ACTS ONLY AS A CUSTODIAN OF YOUR ACCOUNT.

Advanta IRA on behalf of Custodian, Mainstar Trust

By: \_\_\_\_\_ Date: \_\_\_\_\_ Account #: \_\_\_\_\_

Type of Account:  Traditional  Roth  SEP  SIMPLE  HSA  ESA  401(k)  Beneficiary IRA